

Summary and Recommendations  
for the Department of Fish and Game's  
Testimony on the Sacramento-San Joaquin Estuary

SUMMARY OF PRESENT SITUATION

The Governor stated in his recent speech on water policy: "The Delta is broken". The testimony of the Department of Fish and Game (DFG) provides some of the specifics supporting that conclusion, not only for the Delta, but for the entire Estuary.

To summarize our testimony briefly, most fish species dependent on the Bay-Delta Estuary for food, nursery habitat, and as a migration corridor are in decline. The striped bass population has declined by 70%. The winter-run Chinook salmon population is down below 90% of its historical level. The spring-run Chinook is down 80% while fall-run is down 50%. Starry flounder and Bay shrimp are going downhill, and listings for the spring-run, longfin smelt, green sturgeon, and Sacramento splittail are actively being considered. The U.S. Fish and Wildlife Service has proposed listing the Delta smelt.

Most native fish species living within the brackish and freshwater portions of the Estuary exhibit a general pattern of increasing abundance in relation to the magnitude of Delta outflow during the winter and spring. The abundance of about 55 percent of the fish and large invertebrates using the Bay portion of the Estuary, however, does not change in relation to variations in freshwater flows. Most of the estuarine and anadromous fish species, however, are more abundant in wet than dry years. While some marine species are more abundant in dry than wet years, no substantial invasion of the Estuary by marine fishes occurs in dry years. In fact, as the current drought has progressed, the overall abundance of fish has generally declined,

particularly in San Pablo and Suisun bays. This suggests to DFG that increasing flows will have a positive affect on species abundance in the Delta, although DFG acknowledges that there may be viable, non flow related measures which when combined with flow measures may maximize abundance in the most efficient way.

Superimposed on the effects of variations in water flows are the direct losses of fish entrained in water being diverted from the Estuary. A second effect of diversions is interference with fish migration and the use of the Delta as nursery habitat, due to changed flow patterns resulting from the Central Valley Project (CVP) and State Water Project (SWP) exporting water from the southern Delta, while most of their water supply comes from the Sacramento River.

The result of these effects has been a widespread deterioration of fishery resources caused by water development and some other factors, as well.

#### GOALS AND STRATEGIES

##### Long-term Goal

The long-term goal established by the Board should be to assure maintenance of a healthy viable aquatic ecosystem. We consider that goal to be an appropriate reflection of public trust responsibilities as well as the specific laws guiding your decision, including the Delta Protection Act.

DFG continues to believe that attainment of that goal will require substantial changes in the facilities in the Delta that the CVP, SWP, and other diverters use to manage and deliver water. Hence the goal cannot be achieved entirely within the scope of the present hearings, but needs to be a principal

objective addressed during the next three years in the comprehensive planning effort ordered by the Governor.

### Interim Goal

The interim goal of the present proceedings should be to halt the decline in aquatic populations and begin their recovery. DFG believes this is consistent with Governor Wilson's expectation that interim standards for the estuary provide "protection for fish and wildlife." To stop declines and move towards recovery, the Board should initiate efforts now which will lead to a fully functioning, healthy aquatic ecosystem, keeping in mind that the Delta is, as Governor Wilson noted, "broken."

One measure of interim progress towards achieving such an ecosystem response to actions taken by the Board could be fish populations that existed during the late 1960s and early 1970s. This level of restoration would improve the striped bass population from its current level of one-fifth its historical level of 3 million, to 1.7 million, or more than one-half the historical level. Overall, salmon survival would, by this approach improve from approximately one-fourth its historical level to one-half.

Clearly, the Board has the responsibility to weigh the evidence and determine a reasonable course of action. As a result, the Board may decide upon other measures of ecosystem health than population levels reflecting a particular period of time. DFG believes, however, that the late 1960s and early 1970s reflects a period in which the estuarine ecosystem was viable and healthy, and that this health and viability existed because the Delta had not been altered to its present extent.

## Outline of Basic Strategies

We have focused past management efforts on species of primary concern to anglers and commercial fishermen. As a result, standards in your current water rights decision (D-1485) deal only with the protection of striped bass and salmon. Now, however, we are faced with the fact that habitat deterioration in the Estuary is so great that Delta smelt are being considered for listing under the Federal Endangered Species Act and the listing of several other species, including longfin smelt and splittail, is actively being discussed. Clearly, we must broaden our approach to habitat protection. This ecosystem approach is consistent with the Biodiversity MOU initiated by the Resources Agency and signed by many federal and state agencies.

In the following section of this exhibit, DFG will be outlining alternative measures ranging from those intended to arrest the decline in resources to varying levels of restoration. We are presenting these measures in that fashion, rather than as explicit recommendations, because we have not had the time or resources to evaluate the benefits or costs of the specific measures which are presented here or to evaluate alternative measures. As an example, in several cases we will identify desired mean flows but are not certain that the described operational constraints will attain the mean flows. The Board should insist on appropriate operational analyses and exploration of alternatives during these proceedings to establish a proper foundation for your decision which should move as far as is feasible towards accomplishing the recommended interim goal.

Development and evaluation of measures will be difficult in part because some of our proposals break new ground in two specific ways. First, they involve minimum flows early in the water year before annual water supply can be estimated reliably. Second, they reflect a need to retain some of the remaining large

uncontrolled flows. Rather than specifying standards requiring storage releases, we envision constraints on exports and storage when flows are below some minimum. This would have the effect of sharing risks between fish and wildlife and water supply. It is our understanding that the consequences of such measures cannot be evaluated easily with existing operational models.

Before presenting the specific proposals, we want to place them in their proper context. The environmental costs of not taking action are very likely to be high. The board must weigh, consequently, the evidence and determine what is in the public interest. We expect you to weigh the evidence in light of the principles set forth in the Governor's Water Policy.

We expect that some hearing participants will urge you to make that decision entirely in the context of existing firm water supplies, stressing the interim nature of the proceedings. We expect others, however, to present evidence that water supplies available in the Estuary can be increased quickly through approaches such as greater conjunctive use of groundwater and surface supplies, use of presently underutilized supplies, reallocation as in the recent water bank, and water conservation both in urban and agricultural uses. Consistent with the Governor's policy, we expect the Board to pursue such possibilities aggressively so environmental, urban, and agricultural interest can each benefit from your decision.

Progress towards agricultural water conservation has been slower than progress towards urban water conservation. We are aware that agricultural water users question the feasibility of conserving on the total use of water in all agricultural areas. On the other hand, considerable progress has been made in the AB-3616 process to identify State-of-the-Art agricultural water conservation techniques. Moreover, the recently completed San Joaquin Valley Drainage Program concluded that the most feasible

first step in managing the agricultural drainage problem in the next 50 years is reducing applied water and retiring land having the greatest drainage problem. The Department of Water Resources and the Bureau of Reclamation were participants in the program; the Board, the Department of Food and Agriculture, and the Soil Conservation Service have subsequently signed a Memorandum of Understanding to assist in implementing the program; and local agricultural interests were heavily represented on an advisory committee which endorsed the report. Hence, the Board should expect such savings and incorporate that expectation in its decision.

To reiterate a further general point made by DFG during Phase I of these proceedings, the need for export curtailments included in the alternatives we will describe is largely the result of the location of the CVP and SWP export pumps in the Delta. It was in anticipation of this need that DFG urged during the scoping proceedings for this phase of the proceedings that the Board consider criteria for new water facilities during this phase. Other parties opposed this approach, and the Board rejected it. Given DFG's view of the evidence and the call for fisheries restoration measures in the notice of this phase of the hearings, DFG reiterates the need to give serious consideration to interim export curtailments. With export curtailments, improved Delta inflow and outflow regimes, and non-flow measures as may be described by others, the Board can make progress.

DFG urges you to be bold in adopting measures which compel changes in the status quo, because we believe the evidence is overwhelming that the status quo means a continuing decline in fish populations. We are urging you to aggressively pursue measures to initiate the fisheries restoration called for in the Governor's Water Policy and move as far as is feasible towards the interim goal we have recommended for these proceedings.

## ALTERNATIVE MEASURES

### Fall Run Chinook Salmon

The Interagency Ecological Study Program, under the leadership of the Fish and Wildlife Service (USFWS), has extensively evaluated the environmental needs of fall run chinook salmon in the Estuary. The principal need is to improve the survival of downstream migrants during April, May, and June by minimizing their exposure to water exports and maintaining appropriate water temperatures. Our proposals focus on the former, since we have not identified any temperature measures appropriate for these proceedings.

Measures to protect fall run will help spring run salmon. In fact, the combined effect of measures for winter run and fall run chinook salmon will cover the whole period of spring run outmigration and be sufficient to protect the few remaining spring run fish.

DFG has relied on the USFWS to present the technical support for recommendations on fall run salmon. Based on that evidence, DFG provides 3 alternative sets of measures for improving survival of salmon in the Delta. These alternatives are listed below:

#### Alternative A

1. In order to reduce entrainment of Sacramento salmon smolts into the interior Delta,
  - a. Close Delta Cross Channel Gates from 4/15 through 6/15 in all year types.

2. In order to prevent the loss of San Joaquin River smolts to direct entrainment into Old River and the Clifton Court Forebay:

Install a full barrier in Old River from 4/1 through 5/31 and 9/1 through 11/30 in all year types, providing that ongoing evaluation document expected benefits and no unacceptable effects on winter-run salmon or other species.

3. Sacramento River flows at Rio Vista should be maintained at 2,500 cfs from 4/1 through 6/30 during all water year types.

4. In order to prevent reverse flow on the San Joaquin River, when the Delta Cross Channel is closed, maintain minimum flows at Jersey Point as follows:

|              | <u>4/23 to 5/16</u> | <u>4/15 to 4/22 and<br/>5/7 to 6/15</u> |
|--------------|---------------------|---|
| Critical     | 1,000 cfs           | 1,000 cfs                               |
| Dry          | 1,500 cfs           | 1,000 cfs                               |
| Below Normal | 2,000 cfs           | 1,000 cfs                               |
| Above Normal | 2,500 cfs           | 1,000 cfs                               |
| Wet          | 3,000 cfs           | 1,000 cfs                               |

(Flows are 14-day averages)

5. Limit exports at Banks (SWP) and Tracy (CVP) pumping facilities from 4/23 through 5/6 and establish minimum 14-day mean flows in the San Joaquin River as measured at Vernalis in various year types as follows:

| <u>Year Type</u> | <u>Export Limit</u> | <u>Flow Minimum</u> |
|------------------|---------------------|---------------------|
| Critical         | 2,000 cfs           | 2,000 cfs           |
| Dry              | 3,000 cfs           | 4,000 cfs           |
| Below Normal     | 4,000 cfs           | 6,000 cfs           |
| Above Normal     | 5,000 cfs           | 8,000 cfs           |
| Wet              | 6,000 cfs           | 10,000 cfs          |

Alternative B

1. In order to reduce entrainment of Sacramento salmon smolts into the interior Delta,
  - a. Close Delta Cross Channel Gates from 4/1 through 6/30 during all year types and
  - b. Close Georgiana Slough from 4/15 through 6/15 in all year types.

2. In order to prevent the loss of San Joaquin River smolts to direct entrainment into Old River and the Clifton Court Forebay:

Install a full barrier in Old River from 4/1 through 5/31 and 9/1 through 11/30 in all year types, providing that ongoing evaluation documents expected benefits and no unacceptable effects on winter-run salmon or other species.

3. Sacramento River flows at Rio Vista should be maintained at 4,000 cfs from 4/1 through 6/30
4. In order to prevent reverse flow on the San Joaquin River when the Delta Cross Channel and Georgiana slough are closed, maintain minimum 14-day mean flows at Jersey Point as follows:

|              | <u>4/15 to 5/15</u> | <u>4/1 to 4/14 and<br/>5/16 to 6/30</u> |
|--------------|---------------------|---|
| Critical     | 1,000 cfs           | 1,000 cfs                               |
| Dry          | 1,500 cfs           | 1,000 cfs                               |
| Below Normal | 2,000 cfs           | 1,000 cfs                               |
| Above Normal | 2,500 cfs           | 1,000 cfs                               |
| Wet          | 3,000 cfs           | 1,000 cfs                               |

5. Limit exports at Banks (SWP) and Tracy (CVP) pumping facilities from 4/15 through 5/15 and establish minimum 14-day mean flows in the San Joaquin River as measured at Vernalis in various year types as follows:

| <u>Year Type</u> | <u>Export Limit</u> | <u>Flow Minimum</u> |
|------------------|---------------------|---------------------|
| Critical         | 2,000 cfs           | 2,000 cfs           |
| Dry              | 3,000 cfs           | 4,000 cfs           |
| Below Normal     | 4,000 cfs           | 6,000 cfs           |
| Above Normal     | 5,000 cfs           | 8,000 cfs           |
| Wet              | 6,000 cfs           | 10,000 cfs          |

Alternative C

1. In order to reduce entrainment of Sacramento salmon smolts into the interior Delta,
  - a. Close Delta Cross Channel Gates from 2/1 through 6/30 during all year types and
  - b. Close Georgiana Slough from 2/1 through 6/30 during all year types.
2. In order to prevent the loss of San Joaquin River smolts to direct entrainment into Old River and the Clifton Court Forebay:

Install a full barrier in Old River from 2/1 through 6/30 and 9/1 through 11/30 in all year types, providing that ongoing evaluation documents expected benefits and no unacceptable effects on winter-run salmon or other species.

3. Sacramento River flows at Rio Vista should be maintained at 6,000 cfs from 2/1 through 10/30 in all year types.
4. In order to prevent reverse flow on the San Joaquin River when the Delta Cross Channel and Georgiana Slough are closed, maintain 14-day mean flows at Jersey Point from 4/1 through 6/30 as follows:

|              |           |
|--------------|-----------|
| Critical     | 1,000 cfs |
| Dry          | 1,500 cfs |
| Below Normal | 2,000 cfs |
| Above Normal | 2,500 cfs |
| Wet          | 3,000 cfs |

5. Limit exports at Banks (SWP) and Tracy (CVP) pumping facilities from 4/1 through 6/30 and establish minimum 14-day mean flows in the San Joaquin River as measured at Vernalis in various year types as follows:

| <u>Year Type</u> | <u>Export Limit</u> | <u>Flow Minimum</u> |
|------------------|---------------------|---------------------|
| Critical         | 0                   | 2,000 cfs           |
| Dry              | 0                   | 4,000 cfs           |
| Below Normal     | 0                   | 6,000 cfs           |
| Above Normal     | 0                   | 8,000 cfs           |
| Wet              | 0                   | 10,000 cfs          |

As an amplification of the minimum flow recommendation at Vernalis, year classification should be determined based on the Water Year Classification Workgroup's "60-20-20" index of water availability in the San Joaquin Basin. Further, we recommend that the Board equitably allocate proportionate responsibility for meeting these minimum flows at Vernalis to each San Joaquin basin tributary. Use of the historic unimpaired contributions from each tributary to the total runoff at Vernalis is one approach. DFG has recently executed an agreement with Modesto and Turlock irrigation districts to increase the protection of

salmon in the Tuolumne River. We believe that agreement provides sufficient flows from those districts to provide at least 17% of the proposed Vernalis flow in Critical, Dry and Below Normal years, 22% in Above Normal years, and 28% in Wet years. We recommend that the Board recognize those flow contributions in allocating responsibility for meeting interim Vernalis flows.

These recommendations are based on the assumption that the upper Old River Barrier will be in place as specified each year. If for any reason, the upper Old River Barrier is not in place in any year, or if the expected benefits of the barrier project do not materialize, the export limits and minimum flows at Vernalis would need to be reconsidered. A detailed evaluation of the benefits and effects of these recommendations is anticipated through the Interagency Ecological Study Program and other programs.

#### Winter-Run Salmon

DFG has discussed a range of alternatives with the National Marine Fisheries Service and U.S. Fish and Wildlife Service. Measures under consideration are closure of the Delta Cross Channel and Georgiana Slough, export limits, and minimum flows in the San Joaquin River at Jersey Point. The first priority is to keep as many salmon as possible in the Sacramento River, since the survival of salmon migrating through the Mokelumne and San Joaquin rivers is less than that of salmon migrating down the Sacramento River. Export limits and minimum flows at Jersey Point are intended to improve the survival of salmon which do cross into the San Joaquin River.

National Marine Fisheries Service will be presenting a wide range of alternatives to the Board. The following represents a range of alternatives under consideration:

| Alternative | Delta Cross Channel Closure | Georgiana Slough Closure | Maximum Daily Export Rate               | Minimum Flows at Jersey Point |
|-------------|-----------------------------|--------------------------|---|-------------------------------|
| 1           | 2/1 to 4/30                 | Open                     | 3000 cfs<br>2/1 to 4/30                 | None                          |
| 2           | 2/1 to 4/30                 | Open                     | <75% of<br>Vernalis flow<br>2/1 to 4/30 | None                          |
| 3           | 2/1 to 4/30                 | 2/1 to 4/30              | None                                    | >-2000 cfs<br>2/1 to 4/30     |

During ongoing consultations under the Federal and State endangered species process, selection of an alternative within the above range is likely. That process is scheduled for completion in November. The relationship between the endangered species consultation process and the water rights proceeding is still under discussion. DFG leans towards leaving specific measures for winter-run salmon to the endangered species process. The Board should consider a set of measures within the above range to be likely and use the range in weighing potential conflicts between uses. Such an approach would provide flexibility in that decisions could be changed annually based on findings associated with the Endangered Species Act and on current biological knowledge.

### Striped Bass

The striped bass population has declined from about 3 million adults in 1960 to about 600,000 adults today. DFG exhibit WRINT-DFG 3 describes a statistical model relating the abundance of adult bass to exports of water from the Estuary and the magnitude of Delta outflow. DFG Exhibits WRINT-DFG 2 and 3 describe our technical conclusions as to the causes for the decline in adult abundance.

Based on these exhibits on striped bass, DFG believes that export limits and minimum Delta outflow standards are the

principal measures needed to protect the striped bass population.

Those measures need to be supplemented by minimum flows in the Sacramento River and closures of the Delta Cross Channel to maximize the survival of striped bass eggs and larvae in the Sacramento River, and also by salinity standards to maintain at least minimally satisfactory conditions for spawning in the San Joaquin River between Prisoners Point and Antioch.

The striped bass population model estimates abundance based on average April-July exports and Delta outflow, average August-December outflow, and average August-March exports. Thus, any given abundance goal could be achieved by many combinations of export and outflow.

For the purposes of formulating a set of alternatives, DFG used operations analyses prepared by DWR for the 1995 Level of Demand (low demand option). We reasoned that using planned operations as a base would both minimize interference with operations and facilitate evaluations.

We also decided to evaluate alternatives varying the abundance target between 600,000 and 1.7 million fish for each year type.

Given those constraints and leaving average outflows as reported in the operations study, a preliminary screening of alternatives indicated that a population of 600,000 bass could be maintained with slightly more than projected exports, while populations of 1.0 and 1.7 million bass would require substantial reduction in exports (Table 1).

Table 1. Changes in Exports Required to Achieve Various Target Populations of Adult Striped Bass Given Mean Delta Outflows as in DWR's 1995 Level of Demand Operations Study.

| Year Type | Desired Adult Abundance (Millions) | Percent Change in Annual Exports |
|-----------|------------------------------------|----------------------------------|
| C         | 0.6                                | +7%                              |
| D         | 0.6                                | -2%                              |
| BN        | 0.6                                | +3%                              |
| AN        | 0.6                                | +14%                             |
| W         | 0.6                                | +28%                             |
| C         | 1.0                                | -49%                             |
| D         | 1.0                                | -44%                             |
| BN        | 1.0                                | -37%                             |
| AN        | 1.0                                | -26%                             |
| W         | 1.0                                | -12%                             |
| C         | 1.7                                | -100%                            |
| D         | 1.7                                | -84%                             |
| BN        | 1.7                                | -75%                             |
| AN        | 1.7                                | -64%                             |
| W         | 1.7                                | -51%                             |

Given that information, the fact that bass abundance is now about 600,000, but averaged about 1 million during the late 1970s and 1980s and our desire to provide the Board with a set of alternative measures that could stop the decline in abundance and initiate restoration, we developed measures that target bass populations of 600,000 (Alternative A), 1 million (Alternative B), and 1.7 million bass (Alternative C). In this process, we sought to structure alternatives that would optimize benefits for other species. Since many fish species benefit from higher flows in the spring, one obvious strategy is to emphasize increased flows and reduced exports in the spring at the expense of reduced flows and /or increased exports during other months. In our first Alternative (A), we held flows and exports at levels near those in the 1995 level operations study. As an alternative to restore about 1 million bass, we selected a 25 percent increase in spring outflows, and for the third alternative (C  $\approx$  1.7

million fish), we used a 50 percent increase in spring flows. Further evaluation showed that the bass abundance could be maintained with least reduction in annual export if April-July exports were restricted more than August-March exports. Based on these approaches, three sets of flows and exports which would provide 600,000, 1 million, and 1.7 million bass were estimated. These are provided in Table 2.

Table 2. Mean Delta Outflows and Exports Required to Maintain Populations of 600,000 (Alternative A), 1 Million (Alternative B), or 1.7 Million (Alternative C) Adult Striped Bass

| <u>Year Type</u>                              | <u>Apr-Jul Outflow (cfs)</u> | <u>Apr-Jul Exports (cfs)</u> | <u>Aug-Dec Outflow (cfs)</u> | <u>Aug-Mar Exports (cfs)</u> |
|---|------------------------------|------------------------------|------------------------------|------------------------------|
| <b>Alternative A - 600,000 Adult Bass</b>     |                              |                              |                              |                              |
| C   | 4,500                        | 2,600                        | 3,700                        | 8,600                        |
| D   | 7,200                        | 4,500                        | 8,000                        | 9,800                        |
| BN  | 9,600                        | 6,000                        | 10,200                       | 10,000                       |
| AN  | 15,300                       | 7,400                        | 11,000                       | 10,500                       |
| W   | 29,000                       | 8,800                        | 14,300                       | 11,200                       |
| <b>Alternative B - 1 Million Adult Bass</b>   |                              |                              |                              |                              |
| C   | 5,600                        | 1,600                        | 3,700                        | 5,000                        |
| D   | 9,000                        | 3,400                        | 8,000                        | 6,000                        |
| BN  | 12,000                       | 4,400                        | 10,200                       | 6,500                        |
| AN  | 19,200                       | 5,400                        | 11,000                       | 7,100                        |
| W   | 36,200                       | 6,400                        | 14,300                       | 7,900                        |
| <b>Alternative C - 1.7 Million Adult Bass</b> |                              |                              |                              |                              |
| C   | 6,700                        | 500                          | 3,700                        | 1,100                        |
| D   | 10,800                       | 1,000                        | 8,000                        | 2,900                        |
| BN  | 14,400                       | 1,500                        | 10,200                       | 3,700                        |
| AN  | 23,000                       | 2,000                        | 11,000                       | 4,600                        |
| W   | 43,000                       | 3,000                        | 14,300                       | 5,100                        |

The next question is what is an appropriate set of monthly flows that will yield these desired mean outflows? We decided to apportion flows among months in proportion to the way that mean monthly flows occurred in the 1995 operations study.

The more difficult issue is how to specify minimum flows so the desired average flows would be achieved. Simply specifying a mean flow as a minimum is insufficient because uncontrolled flows will result in new means that exceed the targeted ones. Furthermore, in the wetter months specifying any mean flow is infeasible, as during periods of below average precipitation it would occasionally compel releases of unreasonable, and perhaps impossible, amounts of water from storage.

After considering various approaches, we selected the following:

1. For April through July in critical years the means under the 3 Alternatives, the means plus 25 percent or the means plus 50 percent , respectively, were specified as minimums (Table 3) for each of the three alternatives.

Table 3. 14-Day Running Average of Delta Outflow in Critical years for each alternative (A-C).

Flows in CFS

| <u>Month</u> | <u>Alt A</u> | <u>Alt B</u> | <u>Alt C</u> |
|--------------|--------------|--------------|--------------|
| April        | 5,200        | 6,500        | 7,800        |
| May          | 4,600        | 5,700        | 6,900        |
| June         | 4,100        | 5,200        | 6,200        |
| July         | 4,000        | 5,000        | 6,100        |

2. For April through July of other years, the 25th percentile flow was computed for each month from the 1995 LOD study. For Alternative A, this value was rounded off, and specified as the flow below which water could not be diverted to storage or exported. For Alternative B, this value was multiplied by 1.25 (since the means had been increased by 25 percent) and rounded off, and for Alternative C it was multiplied by 1.5 (50 percent increase ) and rounded off (Table 4).

Table 4. Mean Daily Delta Outflows (cfs) Below Which Diversions to Storage and Exports Would be Prohibited or Limited so as to Not Reduce Outflows Below These Amounts (For Alternatives A-C)

| <u>Year</u><br><u>Type</u>                                     | <u>April</u> | <u>May</u> | <u>June</u> | <u>July</u> |
|--|--------------|------------|-------------|-------------|
| <b>Alternative A- 1995 LOD, 25th Percentile</b>                |              |            |             |             |
| D  | 7,600        | 7,600      | 6,100       | 6,100       |
| BN   | 7,600        | 7,600      | 6,800       | 6,700       |
| AN   | 10,800       | 12,000     | 9,500       | 8,000       |
| W  | 14,300       | 19,500     | 14,000      | 10,000      |
| <b>Alternative B-1995 LOD, 25th Percentile + 25% Increase</b>  |              |            |             |             |
| D  | 9,500        | 9,500      | 7,600       | 7,600       |
| BN   | 9,500        | 9,500      | 8,600       | 8,300       |
| AN   | 13,500       | 15,000     | 12,000      | 9,900       |
| W  | 18,000       | 24,000     | 17,500      | 12,500      |
| <b>Alternative C- 1995 LOD, 25th Percentile + 50% Increase</b> |              |            |             |             |
| D  | 11,400       | 11,400     | 9,200       | 9,300       |
| BN   | 11,400       | 11,400     | 10,300      | 10,000      |
| AN   | 16,300       | 18,100     | 14,200      | 11,900      |
| W  | 22,000       | 29,000     | 21,000      | 15,000      |

3. For August through December, means were specified as minimums when the mean flow was less than 8,000 cfs (Table 5). In months with higher means (December in Dry and wetter years, November in Below Normal and wetter years, and October in Wet years), minimum flows were selected to not exceed 7,300 cfs (the wet year mean in September) and provide a logical pattern of increasing flows in wetter years and later months.

Table 5. 14-Day Running Averages of Delta Outflow (cfs). Same flows apply to all 3 Alternatives.

| <u>1/</u><br><u>Year</u><br><u>Type</u> | <u>Aug</u> | <u>Sept</u> | <u>Oct</u> | <u>Nov</u> | <u>Dec</u> |
|---|------------|-------------|------------|------------|------------|
|---|------------|-------------|------------|------------|------------|

|                 |       |       |       |       |       |
|-----------------|-------|-------|-------|-------|-------|
| Critical        | 3,300 | 3,000 | 3,600 | 3,600 | 4,700 |
| Dry             | 5,000 | 4,000 | 4,500 | 4,500 | 4,700 |
| Below<br>Normal | 5,300 | 4,200 | 4,500 | 4,500 | 4,900 |
| Above<br>Normal | 5,600 | 4,200 | 4,500 | 4,500 | 5,400 |
| Wet             | 5,800 | 7,300 | 7,300 | 7,300 | 7,300 |

4. In fall months with mean outflows greater than 8,000 cfs, the mean was rounded off and specified as a limit below which water could not be diverted to storage or export (Table 6). Hence in those months both a mean and a threshold for limits to storage and export are proposed. Note that the year type in October, November and December is determined by the type designated for the previous water year. Also note that limits on storage and export are based on daily flows.

Table 6. Mean Daily Delta Outflows (cfs) Below Which Diversions to Storage and Exports Would be Prohibited or Limited so as to Not Reduce Outflows Below These Amounts. Estimates apply to all Alternatives.

| <u>1/</u><br>Year<br>Type | <u>Oct</u> | <u>Nov</u> | <u>Dec</u> |
|---------------------------|------------|------------|------------|
| Dry                       |            |            | 20,000     |
| Below<br>Normal           |            | 9,500      | 26,000     |
| Above<br>Normal           |            | 12,900     | 27,000     |
| Wet                       | 14,200     | 16,300     | 28,000     |

1/ October, November, and December are to be classified according to the previous water year.

This proposed approach to managing flows is new. It obviously warrants review and discussion from a number of perspectives including how water costs and biological benefits can be evaluated during planning, and its practical operational implications.

Note that a time lag would exist between the initiation of any set of flows and export limits and the time when the target population level would be reached. As an example, one evaluation indicated it would take about 10 years to increase the population from 600,000 to 1 million bass.

Flows and export limits to attain any preferred alternative for adult striped bass then become the export limits in Table 2 and the flow constraints in Tables 3, 4, 5, and 6. We have not attempted to apportion export limits among months but some such apportioning is probably appropriate.

One thing to note is that this approach to specifying flows does not assure attainment of the target mean flows identified in Table 2.

In addition to the measures presented in Tables 2-6, the following should be included in each alternative to protect striped bass:

1. Maintain a daily mean flow of not less than 13,000 cfs in the Sacramento River at Sacramento from April 15 through May 31.
2. Close the Delta Cross Channel from April 15 through May 31 and to reduce potential detrimental impacts through resulting western delta flow reversals, maintain flow in the San Joaquin River at Jersey Point whenever the Cross Channel is closed according to the following:

|    | 14-Day Mean Flow (cfs) |
|----|------------------------|
| C  | 1,000                  |
| D  | 1,500                  |
| BN | 2,000                  |
| AN | 2,500                  |
| W  | 3,000                  |

3. Adopt the striped bass salinity standards as provided in the 1991 Water Quality Plan (Appendix 1).

### **Estuarine Fishes**

As pointed out in the summary at the start of this exhibit, most anadromous and estuarine species generally increase in abundance as outflow increases in the winter and spring. The supporting evidence for this conclusion is detailed in Exhibit WRINT-DFG 6. Splittail follow a similar pattern (WRINT-DFG Exhibit 5).

These fishes have all been depleted by the current drought. The low flows which have occurred in the last 5 years are unprecedented in their experience. While the cumulative unimpaired water supply in the current drought has been similar to the 1929-1934 drought, Delta outflow has been dramatically decreased (Figure 1). It remains to be seen what the long-term consequences of the drought are for these species.

In order to prevent further decreases in these species and initiate recovery, DFG has formulated a set of alternative measures based on abundance--outflow relationships for longfin smelt, bay shrimp (Crangon franciscorum), starry flounder and splittail. As with striped bass, the measures are based on increasing mean flows in DWR's 1995 LOD operations study (Alternative A) by 25 and 50 percent (Alternatives B and C, respectively).

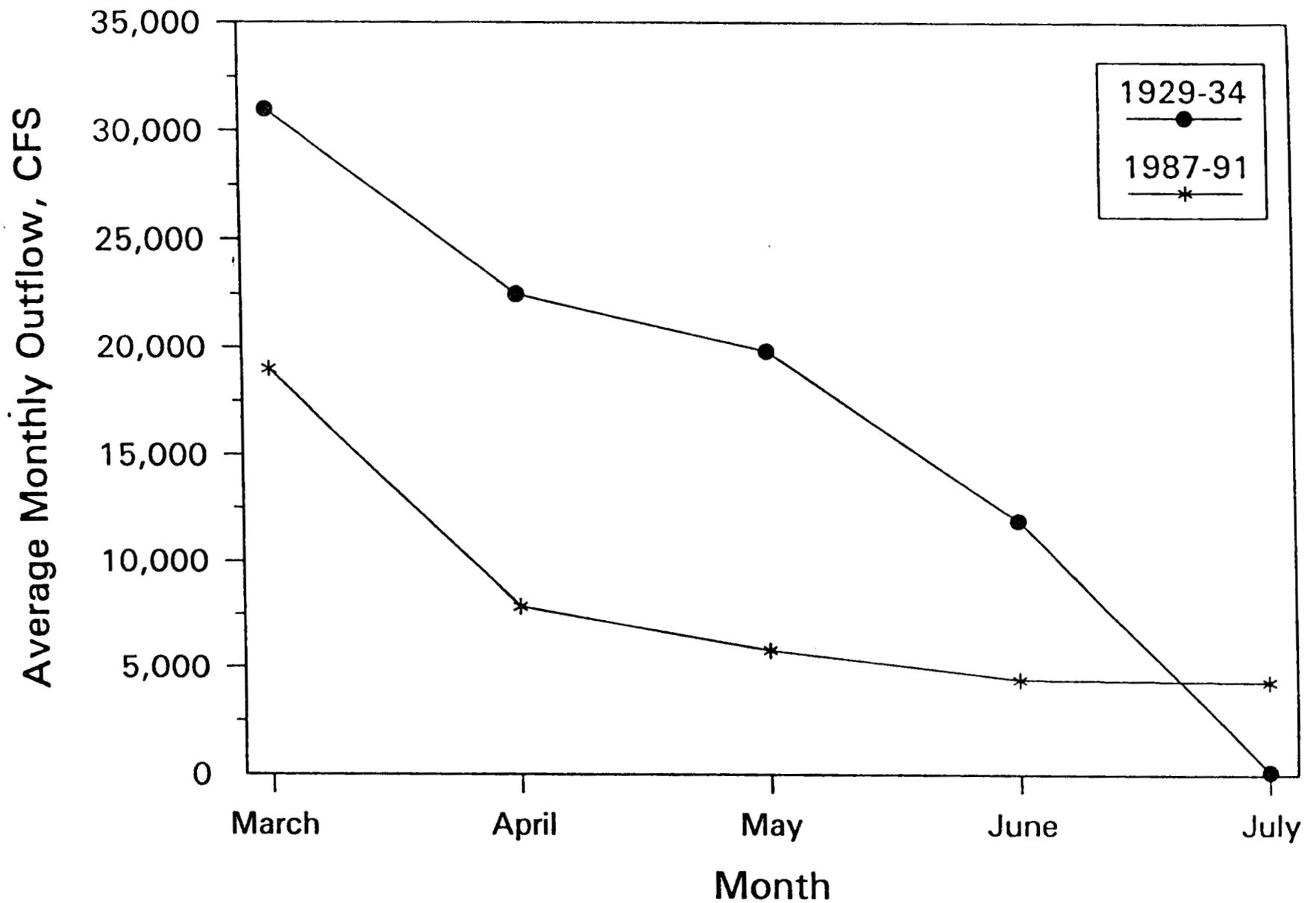


Figure 1. Comparison of delta outflow during the historic 1929-1934 drought and the current drought (1987-91)

Initial evaluations of this approach indicated that projected abundances of the four species in Dry and Critical years were lower than estimated abundances based on actual flows during the current drought (Table 7). This occurs because projected average dry and critical year flows in the 1995 operations study are only 70 to 80% of actual flows from 1987 to 1991 (Table 7). Therefore, even Alternative B mean flows (Table 8) would result in further declines in abundance during Dry and Critical years. As an initial step towards dealing with this issue, Critical Year target flows in Alternative A were increased by 25%.

Table 7. Comparison of 1995 Operations Study Projections in Dry and Critical Years with Actual Flows in Dry and Critical Years Between 1987 and 1991.

**Critical Year Comparisons**

|                        | Mean Chipps Island Outflows |         |         | Calculated Abundances |                 |                |            |
|------------------------|-----------------------------|---------|---------|-----------------------|-----------------|----------------|------------|
|                        | Feb-May                     | Mar-Jun | Mar-Jun | Longfin Smelt         | Starry Flounder | Crangon franc. | Split-tail |
| Mean 1995 LOD          | 5491                        | 4923    | 5148    | 330                   | 3               | 946            | 38         |
| Mean 1995 LOD + 25%    | 6900                        | 6200    | 6500    | 457                   | 3               | 1433           | 42         |
| Dayflow:1988,1990,1991 | 7355                        | 6946    | 7887    | 501                   | 4               | 1838           | 45         |

**Dry Year Comparison**

|                       | Mean Chipps Island Outflows |         |         | Calculated Abundances |                 |                |            |
|-----------------------|-----------------------------|---------|---------|-----------------------|-----------------|----------------|------------|
|                       | Feb-May                     | Mar-Jun | Mar-May | Longfin Smelt         | Starry Flounder | Crangon franc. | Split-tail |
| Mean 1995 LOD         | 11821                       | 9551    | 10634   | 989                   | 5               | 2463           | 52         |
| Mean 1995 LOD + 25%   | 14800                       | 12000   | 13300   | 1365                  | 7               | 2930           | 59         |
| Dayflow 1987 and 1989 | 14464                       | 12783   | 15408   | 1320                  | 7               | 3238           | 65         |

Table 8. Target Mean Monthly Flows for Three Alternatives Based on Data from A) DWR's 1995 LOD Operations, B) 1995 LOD + 25% Increase, and C) LOD + 50% Increase.

**Alternative A - Based on 1995 LOD Operations Study**

| Year Type | Feb    | Mar    | Apr    | May    | Jun    |
|-----------|--------|--------|--------|--------|--------|
| C         | 8,000  | 7,200  | 6,500  | 5,700  | 5,200  |
| D         | 15,400 | 15,900 | 8,400  | 7,600  | 6,300  |
| BN        | 34,400 | 21,100 | 11,500 | 10,700 | 8,900  |
| AN        | 61,100 | 60,500 | 23,300 | 16,100 | 13,400 |
| W         | 93,500 | 74,300 | 49,400 | 33,400 | 22,500 |

Alternative B - Based on 1995 LOD + 25% Increase

| <u>Year</u><br><u>Type</u> | <u>Feb</u> | <u>Mar</u> | <u>Apr</u> | <u>May</u> | <u>Jun</u> |
|----------------------------|------------|------------|------------|------------|------------|
| C                          | 8,000      | 7,200      | 6,500      | 5,700      | 5,200      |
| D                          | 19,200     | 19,900     | 10,500     | 9,500      | 7,900      |
| BN                         | 43,000     | 26,300     | 14,400     | 13,300     | 11,100     |
| AN                         | 76,300     | 75,600     | 29,200     | 20,100     | 16,700     |
| W                          | 95,000     | 89,000     | 61,700     | 41,700     | 28,100     |

Alternative C - Based on 1995 LOD + 50% Increase

| <u>Year</u><br><u>Type</u> | <u>Feb</u> | <u>Mar</u> | <u>Apr</u> | <u>May</u> | <u>Jun</u> |
|----------------------------|------------|------------|------------|------------|------------|
| C                          | 9,600      | 8,600      | 7,800      | 6,900      | 6,200      |
| D                          | 23,100     | 23,900     | 12,600     | 11,400     | 9,500      |
| BN                         | 51,600     | 31,600     | 17,300     | 16,000     | 13,300     |
| AN                         | 91,600     | 90,000     | 35,000     | 24,100     | 20,100     |
| W                          | 95,000     | 89,000     | 74,100     | 50,000     | 33,800     |

As with striped bass, we developed alternatives based on restricting diversions to storage and export in dry and wetter years (Table 9) and maintenance of mean outflows in critical years (Table 10).

In order to compensate for the low flows in Dry and Critical years in the 1995 Operations Study, flows for Dry years in Table 9 were based on mean flows rather than 25th percentile flows except that they were not increased above flows in Below Normal years. Also, Critical year flows in Table 10 were increased 25% for Alternative A and 35% for Alternative B, both in relation to the 1995 Operations Study.

It also seems unnecessary to restrict diversions to storage and export when Delta outflows are very large. Hence an arbitrary cap of 50,000 cfs was placed on limits in Table 9. As with striped bass we are uncertain whether these alternatives would result in the target mean flows.

Assuming they do increase by those amounts, the estimated increase in abundance for longfin smelt, bay shrimp, starry flounder and splittail using Alternative B measures would average about 28, 19, 11, and 13 percent respectively, while for Alternative C measures increases would average about 58, 37, 23, and 21 percent respectively.

Table 9. Mean Daily Delta Outflows (cfs) Below Which Diversions to Storage and Exports Would be Prohibited or Limited so as to Not Reduce Outflows Below Those Specified for 3 Alternatives

Alternative A - (Critical year = 1995 L Mean + 25%, all others = 1995 L 25th Percentile)

| <u>Year Type</u> | <u>Feb</u> | <u>March</u> | <u>April</u> | <u>May</u> | <u>June</u> |
|------------------|------------|--------------|--------------|------------|-------------|
| D                | 7,800      | 7,400        | 7,600        | 7,600      | 6,300       |
| BN               | 17,700     | 12,300       | 7,600        | 7,600      | 6,900       |
| AN               | 39,800     | 43,300       | 10,800       | 12,000     | 9,500       |
| W                | 50,000     | 36,100       | 14,300       | 19,500     | 14,000      |

Alternative B - (Critical = 1995 L Mean + 25%, all others = 1995 L 25th Percentile + 25%)

| <u>Year Type</u> | <u>Feb</u> | <u>March</u> | <u>April</u> | <u>May</u> | <u>June</u> |
|------------------|------------|--------------|--------------|------------|-------------|
| D                | 19,200     | 15,000       | 9,500        | 9,500      | 7,900       |
| BN               | 22,200     | 15,400       | 9,500        | 9,500      | 8,600       |
| AN               | 50,000     | 50,000       | 13,600       | 15,000     | 11,900      |
| W                | 50,000     | 45,000       | 17,900       | 24,400     | 17,500      |

Alternative C - (Critical = 1995 L Mean + 50%, all others = 1995 L 25th Percentile + 50%)

| <u>Year Type</u> | <u>Feb</u> | <u>March</u> | <u>April</u> | <u>May</u> | <u>June</u> |
|------------------|------------|--------------|--------------|------------|-------------|
| D                | 23,100     | 11,000       | 11,400       | 11,400     | 9,500       |
| BN               | 26,600     | 18,400       | 11,400       | 11,400     | 10,300      |
| AN               | 50,000     | 50,000       | 16,300       | 18,100     | 14,200      |
| W                | 50,000     | 50,000       | 21,500       | 29,300     | 21,000      |

Table 10. 14-Day Running Averages of Delta Outflow in Critical Years for each alternative.

|       | <u>Feb</u> | <u>Mar</u> | <u>Apr</u> | <u>May</u> | <u>Jun</u> |
|-------|------------|------------|------------|------------|------------|
| Alt A | 8,000      | 7,200      | 6,500      | 5,700      | 5,200      |
| Alt B | 8,700      | 7,800      | 7,000      | 6,200      | 5,600      |
| Alt C | 9,600      | 8,600      | 7,800      | 6,900      | 6,200      |

The proposed approach for estuarine fishes does not address directly the phenomenon of progressive, widespread depletions in fish populations noted during the current drought (WRINT-DFG-Exhibit 6). The cause of that depletion is not known. Possible explanations are toxicity, resulting from decreased dilution of wastes; decreased production at the base of the food chain, due to decreased nutrient input from upstream rivers; and possibly changes in energy flow through the food chain, as more energy is directed to benthic species instead of pelagic species. In any event we are unsure whether the proposed constraints on diversion and storage in critical years would avoid such depletions in a future drought.

#### **Delta Smelt and Other Fishes**

DFG has decided not to offer specific standards for the protection of Delta smelt because of uncertainties about quantitative relationships between their abundance and likely controlling environmental parameters (Exhibit WRINT-DFG-9). As stated in that Exhibit, however, we believe it is highly likely that Delta smelt populations are adversely impacted by the effects of Delta exports and reduced Delta outflows. As with striped bass, they seem to be very vulnerable to being drawn to the south Delta export facilities throughout the year. They are likely even more vulnerable to mortality at that point, as they

are so difficult to handle and transport. Therefore, we are confident that DFG's preferred measures for striped bass would provide a major benefit to Delta smelt, and that the measures for estuarine fish and salmon would provide lesser but significant benefits.

American shad and white catfish are also very vulnerable to losses in the diversions and American shad derive substantial benefits from higher spring flows. Hence both species would benefit from DFG's preferred alternatives.

#### **Fish Facilities**

The most significant feasible improvement in the next 5 years at the CVP and SWP fish protective facilities in the south delta is to reduce predation. Both USBR and DWR have taken steps to reduce predation. We have cooperated in those efforts and are anxious to continue doing so. DWR and DFG intend to pursue a major effort to measure and reduce predation in Clifton Court Forebay. We do not believe the Board needs to take action during these proceedings to deal with predation.

Table II of Decision 1485 includes a set of operating standards for the fish protective facilities in the Delta. These are detailed standards specifying facility characteristics such as screen approach velocities and bypass ratios for specific time

periods to protect striped bass, salmon, and white catfish. For a number of reasons the DFG believes that these standards should be revised.

DFG believes that the present Decision 1485 fish facility operating standards do not reflect up-to-date thinking about Delta fish protective needs and that the adoption of rigid standards, such as the present standards, are bound to be unresponsive to year to year differences in Delta fishery resource conditions. For example, the present standards specify SWP facility operating conditions that are primarily intended to protect chinook salmon from November 1 through May 14 and striped bass from May 15 through October 31. These specified time frames are generally accurate for providing optimal protection for the two species, but not in all years. During some years large losses of striped bass occur in November and December when relatively few salmon are present. In years when this occurs it would be desirable to modify the standards to provide additional protection for striped bass.

Rigid fish protective facility standards are unlikely to meet changing future Delta fish protective needs. In recent years increasing emphasis has been placed on the protection of species other than striped bass and salmon, a trend that is likely to continue. As research to identify the fish protective facility operating needs of new species of concern moves forward

it will be necessary to integrate new operating standards into facility operations. Optimization of standards will be difficult with multiple species of concern and will likely require close monitoring of the relative abundance of entrained fish species.

In the near future new fish collection facilities will be added at the SWP and yet-to-be determined modifications made to the CVP fish protective facilities. It will be necessary to develop new operating standards for the two facilities to take into account the facility modifications.

In response to the issues expressed above, DFG proposed that the Decision 1485 fish facility operating criteria be replaced by the following language:

"The fish protective facilities associated with the State Water Project and Central Valley Project export facilities will be operated to optimize the protection of Delta fishery resources, consistent with export rates and facility maintenance needs, as determined by the California Department of Fish and Game. Should the Bureau of Reclamation or Department of Water Resources consider Fish and Game specifications to be unreasonable, they may request relief from the Executive Officer of the Board and the Executive Officer may grant relief, provided that such relief is supported by written findings."

Adoption of a standard such as this obviously creates the need for closer coordination between DFG and the U.S. Bureau of Reclamation and the Department of Water Resources. DFG proposes to develop this coordination and a specific plan for implementing the standard through negotiated agreements between the agencies.

**TABLE 1-1 (cont.) WATER QUALITY OBJECTIVES**

**C) FISH AND WILDLIFE**

**HABITAT/SPECIES**

| LOCATION   | SAMPLING SITE NOS. (I-A/RKI) | PARAMETER                           | DESCRIPTION  | INDEX TYPE   | YEAR TYPE  | DATES  | VALUES          |
|--|------------------------------|-------------------------------------|--|--|------------|--|-----------------|
| <b>STRIPED BASS - SALINITY 1 ANTIÖCH - SPAWNING</b>  |                              |                                     |  |  |            |  |                 |
| <i>Sacramento River at Chipps Island</i>   | <i>D-10 RSAC075</i>          | <i>Delta outflow Index (DOI)</i>    | <i>Average for the period not less than the value shown, in cfs</i>  | <i>Not Applicable</i>  | <i>All</i> | <i>Apr 1-Apr 14</i>                                | <i>6,700</i>    |
| <i>San Joaquin River at Antioch Water Works Intake</i>   | <i>D-12 (near) RSAN007</i>   | <i>Electrical Conductivity (EC)</i> | <i>14-day running average of mean daily for the period not more than value shown, in mmhos</i>   | <i>Not Applicable</i>  | <i>All</i> | <i>Apr 15-May 31 (or until spawning has ended)</i> | <i>1.5</i>      |
| <b>STRIPED BASS - SALINITY 2 ANTIÖCH - SPAWNING - RELAXATION PROVISION</b>   |                              |                                     |  |  |            |  |                 |
| <i>San Joaquin River at Antioch Water Works Intake</i>   | <i>D-12 (near) RSAN007</i>   | <i>Electrical Conductivity (EC)</i> | <i>14-day running average of mean daily not more than value shown corresponding to deficiencies in firm supplies declared by a set of water projects representative of the Sacramento River and San Joaquin River watersheds, for the period shown, or until spawning has ended. The specific representative projects and amounts of deficiencies will be defined in subsequent phases of the proceedings.</i> | <i>Total Annual Imposed Deficiency (MAF)</i>                                       |            | <i>Apr 1-May 31 EC in mmhos</i><br><i>Dry</i>      | <i>Critical</i> |
| <i>This relaxation provision replaces the above Antioch &amp; Chipps Island standard whenever the projects impose deficiencies in firm supplies.</i> |                              |                                     |  | <i>0.0</i>   |            | <i>1.5</i>   | <i>1.5</i>      |
|  |                              |                                     |  | <i>0.5</i>   |            | <i>1.8</i>   | <i>1.9</i>      |
|  |                              |                                     |  | <i>1.0</i>   |            | <i>1.8</i>   | <i>2.5</i>      |
|  |                              |                                     |  | <i>1.5</i>   |            | <i>1.8</i>   | <i>3.4</i>      |
|  |                              |                                     |  | <i>2.0 or more</i>   |            | <i>1.8</i>   | <i>3.7</i>      |
|  |                              |                                     |  | <i>Linear interpolation is to be used to determine values between those shown.</i> |            |  |                 |
| <b>STRIPED BASS - SALINITY 3 PRISONERS POINT - SPAWNING</b>  |                              |                                     |  |  |            |  |                 |
| <i>San Joaquin River at: Prisoners Point</i>   | <i>D-29 RSAN038</i>          | <i>Electrical Conductivity (EC)</i> | <i>14-day running average of mean daily for the period not more than value shown, in mmhos</i>   | <i>Not Applicable</i>  | <i>All</i> | <i>Apr 1-May 31 (or until spawning has ended)</i>  | <i>0.44</i>     |

APPENDIX 1 (Cont.)

TABLE 1-1 (cont.) WATER QUALITY OBJECTIVES

C) FISH AND WILDLIFE

HABITAT/SPECIES

| LOCATION   | SAMPLING<br>SITE NOS.<br>(I-A/R/K) | PARAMETER                                 | DESCRIPTION  | INDEX<br>TYPE         | YEAR<br>TYPE   | DATES   | VALUES      |
|--|------------------------------------|---|--|-----------------------|----------------|---|-------------|
| <b>STRIPED BASS - SALINITY: 4. PRISONERS POINT - SPAWNING - RELAXATION PROVISION</b> |                                    |   |  |                       |                |   |             |
| <i>When the relaxation provision for Antioch spawning protection is in effect:</i>   |                                    |   |  |                       |                |   |             |
| <i>San Joaquin River at:<br/>Prisoners Point</i>                                     | <i>D-29<br/>RSAN038</i>            | <i>Electrical Con-<br/>ductivity (EC)</i> | <i>14-day running average of mean<br/>daily for the period not more<br/>than the value shown, in mmhos</i> | <i>Not Applicable</i> | <i>D&amp;C</i> | <i>Apr 1-May 31<br/>(or until spawning<br/>has ended)</i> | <i>0.55</i> |